

JC07 Rec'd PCT

21 MAR 2001

FORM PTO-1390  
REV. 5-93US DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEYS DOCKET NUMBER

P01,0065

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

**09/787735**INTERNATIONAL APPLICATION NO.  
**PCT/SE99/01642**INTERNATIONAL FILING DATE  
**20 September 1999**PRIORITY DATE CLAIMED  
**21 September 1998**

TITLE OF INVENTION

**MEDICAL IMPLANT**

APPLICANT(S) FOR DO/EO/US

**JOHAN LIDMAN, LARS LANDELIUS and CHARLOTTE KJELLMAN**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
  2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
  3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay.
  4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
  5. ☒ A copy of International Application as filed (35 U.S.C. 371(c)(2)) - drawings attached.
    - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
    - b. ☐ has been transmitted by the International Bureau.
    - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
  6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2) - drawings attached).
  7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
    - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
    - b. ☐ have been transmitted by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☐ have not been made and will not be made.
  8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
  9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). Un-signed
  10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11. to 16. below concern other document(s) or information included:
11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report).
  12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
  13. ☒ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
  14. ☒ A substitute specification and redlined-version
  15. ☐ A change of power of attorney and/or address letter.
  16. ☒ Other items or information:
    - a. ☒ Submission of Ready to Publish Drawings
    - b. ☒ Request for Approval of Drawing Changes
    - c. ☒ Information Disclosure Statement
    - B. ☒ Express Mail Label EJ 778078585US

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5)

09/787735

INTERNATIONAL APPLICATION NO.  
PCT/SE99/01642ATTORNEY'S DOCKET NUMBER  
P01,005517. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):**

Search Report has been prepared by the EPO or JPO ..... \$860.00

International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) \$690.00

No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but  
international search fee paid to USPTO (37 C.F.R. 1.445(a)(2)) ..... \$760.00Neither international preliminary examination fee (37 C.F.R. 1.482) nor international  
search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO ..... \$1000.00International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all  
claims satisfied provisions of PCT Article 33(2)-(4) ..... \$100.00**ENTER APPROPRIATE BASIC FEE AMOUNT =**

CALCULATIONS

PTO USE ONLY

\$ 1000.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from  
the earliest claimed priority date (37 C.F.R. 1.492(e)).

\$

Claims	Number Filed	Number Extra	Rate
Total Claims	13 - 20 =	0	X \$18.00
Independent Claims	1 - 3 =		X \$ 80.00
Multiple Dependent Claims			\$270.00 +

**TOTAL OF ABOVE CALCULATIONS =**

\$1000.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must  
also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)

\$

**SUBTOTAL =**

\$1000.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months  
from the earliest claimed priority date (37 CFR 1.492(f)).

\$

**TOTAL NATIONAL FEE =**

\$1000.00

Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be  
accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property +**TOTAL FEES ENCLOSED =**

\$1000.00

Amount to be  
refunded

\$

charged

\$

a. ☒ A check in the amount of \$1000.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this  
sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit  
Account No. 501519. A duplicate copy of this sheet is enclosed.**NOTE:** Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must  
be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Schiff Hardin & Waite  
Patent Department  
6600 Sears Tower  
Chicago, Illinois 60606  
Customer No. 26574

SIGNATURE

Steven H. Noll

NAME

28,982 (Registration No.)

09/787735

JC10 Rec'd PCT/PTO 21 MAR 2001

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

**"Express Mail" Mailing label Number** EJ 778078585 US

**Date of Deposit:** March 21, 2001

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office To Addressee" service under 37 CFR 1.10(c) on the date indicated above and is addressed to:

BOX PCT  
Hon. Assistant Commissioner for Patents  
Washington DC 20231

**Case Number:** P01,0065

**International Application No.** PCT/SE99/01642

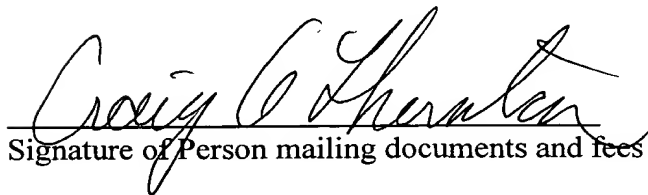
**International Filing Date:** 20 September 1999

**Title:** MEDICAL IMPLANT

**Applicant:** JOHANN LIDMAN ET AL

Enclosed are the following documents:

International Application as filed, drawings, attached;  
Submission of Substitute Specification including Red-lined version  
Information Disclosure Statement  
Submission of Ready to Publish Drawings  
Request for Approval of Drawing Changes  
PTO 1390 in duplicate;  
Unsigned Declaration  
Government Filing Fee  
Postcard

  
Signature of Person mailing documents and fees

BOX PCT

5 REQUEST FOR APPROVAL OF DRAWING CHANGES

DOCKET NO: P01,0065

GROUP ART UNIT:

10 INTERNATIONAL APPLICATION NO: PCT/SE99/01642  
INTERNATIONAL FILING DATE: 20 September 1999  
INVENTION: "MEDICAL IMPLANT"

15 Sir:

Respectfully submitted,

Steven H. Noll (Reg. No. 28,892)  
Steven H. Noll

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**CUSTOMER NO. 26574**

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BOX PCT

IN THE UNITED STATES ELECTED OFFICE  
OF THE UNITED STATES PATENT AND TRADEMARK OFFICE  
UNDER THE PATENT COOPERATION TREATY-CHAPTER II

5 SUBMISSION OF "READY TO PUBLISH" DRAWINGS

APPLICANT: Johan Lidman et al

DOCKET NO: P01,0065

SERIAL NO:

GROUP ART UNIT:

EXAMINER:

10 INTERNATIONAL APPLICATION NO: PCT/SE99/01642  
INTERNATIONAL FILING DATE: 20 September 1999  
INVENTION: "MEDICAL IMPLANT"

Assistant Commissioner for Patents  
Washington, D.C. 20231

15 Sir:

Applicants herewith submit seven sheets (Figs. 1-8)  
of "ready to publish" drawings for the above-referenced  
PCT application.

Respectfully submitted,

20

 (Reg.No. 28,892)

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